



**MAKING**  
NEW  
**TREAT**  
**MENTS**  
POSSIBLE

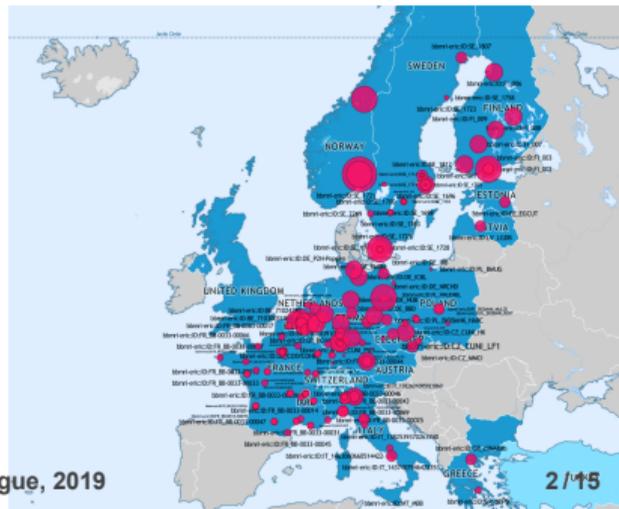
**SHARING OF RESEARCH DATA IN ACADEMIC  
ENVIRONMENTS – TRANSNATIONAL EXPERIENCE  
FROM BBMRI-ERIC**

**Assoc. Prof. RNDr. Petr Holub, Ph.D.**

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# WHAT IS BBMRI-ERIC?

- ▶ **One of largest European RIs for medical research**
  - spans **20 member states** + IARC
  - **federated** biobanks and other resources across members states
  - makes **biological samples & medical/health data more FAIR** (findable, accessible, interoperable, reusable) while also compliant to **privacy protection** regulations
  - facilitates adoption of **quality procedures** to **improve reproducibility** of medical research



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## SPECIFICS OF PERSONAL RESEARCH DATA

- ▶ What it is: **personal data used for research purposes**
  - health, genetic, geo-location (for exposure), ...
- ▶ What makes **research data specific**?
  - many things are completely different compared to personal data processing for other purposes
  - research is typically **multi-center/international**
  - research needs to be **verifiable** and **reproducible**
  - pressure on publishing research data as FAIR or open
  - need to store data in research infrastructures: **quality-assured data** made available for reuse
  - even industrial research now considers data sharing/pooling: dealing with **mounting costs of collecting high-quality data**

## RESEARCH DATA IN CZ UNDER GDPR

- ▶ All the public **Czech universities teamed up to develop joint recommendations** how to deal with research data under GDPR
  - <https://doi.org/10.5281/zenodo.2532860>
  - goal was to utilize flexibility given by the GDPR for research purposes (e.g., where informed consent is not needed) – i.e., **GDPR perceived beneficial**
  - procedural recommendations (e.g., event handling)
  - being updated now after adoption of national GDPR implementation – minor updates only

**Metodika aplikace GDPR na  
výzkumná data v prostředí  
vysokých škol v ČR**

Radim Polčák, Leoš Ševčík, Michal Koščík,  
Jakub Klodwig, Petr Holub

*Masarykova univerzita*

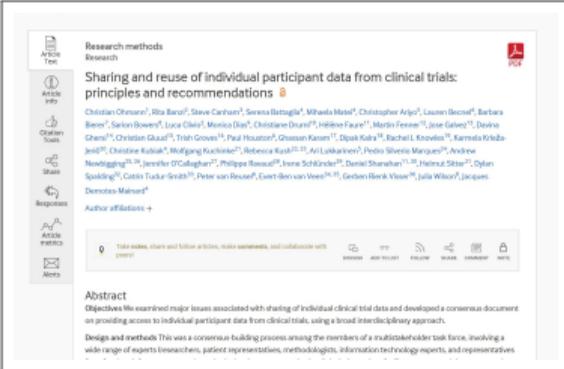


2018

# SHARING DATA FROM CLINICAL TRIALS

▶ Ohmann, Christian, et al. **”Sharing and reuse of individual participant data from clinical trials: principles and recommendations.”**

- <https://bmjopen.bmj.com/content/7/12/e018647.abstract>
- outcome of CORBEL project
- 10 principles and 50 recommendations
- consent management
- protection of trial participants
- data standards, rights, types and management of access
- data management and repositories, discoverability, and metadata



Research methods  
Research

Sharing and reuse of individual participant data from clinical trials: principles and recommendations

Christian Ohmann<sup>1</sup>, Rita Baro<sup>2</sup>, Steve Carbone<sup>3</sup>, Serena Battaglia<sup>4</sup>, Mikaela Most<sup>5</sup>, Christopher Argo<sup>6</sup>, Lauren Becroft<sup>7</sup>, Barbara Bener<sup>8</sup>, Gordon Brown<sup>9</sup>, Luca Chiar<sup>10</sup>, Monica Day<sup>11</sup>, Christine Dixon<sup>12</sup>, Hildegarde Faur<sup>13</sup>, Helene Fenwick<sup>14</sup>, Jose Galvez<sup>15</sup>, David Ganev<sup>16</sup>, Christian Gaud<sup>17</sup>, Trish Green<sup>18</sup>, Paul Huxford<sup>19</sup>, Ghassan Karam<sup>20</sup>, Dushyant Kulkarni<sup>21</sup>, Barbara Kowalek<sup>22</sup>, Samira Kribbia-Jedidi<sup>23</sup>, Christine Kubak<sup>24</sup>, Mufgang Kuchelak<sup>25</sup>, Rebecca Kulkarni<sup>26</sup>, Arif Lubbukero<sup>27</sup>, Pedro Simeon Marques<sup>28</sup>, Andrew Newbould<sup>29</sup>, Jennifer O'Callaghan<sup>30</sup>, Philippe Ravaud<sup>31</sup>, Irene Schlander<sup>32</sup>, Daniel Shanahan<sup>33</sup>, Helmut Sitter<sup>34</sup>, Dylan Spalding<sup>35</sup>, Cassi Tucker-Smith<sup>36</sup>, Peter van Rossum<sup>37</sup>, Evert-Jan van Veen<sup>38</sup>, Gordon Brook Vison<sup>39</sup>, Julia Wilson<sup>40</sup>, Jacques Demotes-Mainard<sup>41</sup>

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Abstract

**Objectives** We examined major issues associated with sharing of individual clinical trial data and developed a consensus document on providing access to individual participant data from clinical trials, using a broad interdisciplinary approach.

**Design and methods** This was a consensus building process among the members of a multistakeholder task force, involving a wide range of experts (clinicians, patient representatives, methodologists, information technology experts and representatives

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## USING PERSONAL OR ANONYMIZED DATA?

- ▶ **Pseudonymized data is personal data**
  - identifier(s) of a pseudonym is replaced by a pseudonym
- ▶ **Anonymized data is non-personal data**
  - anonymization is not a perfect process (nothing like perfectly anonymized while still useful exists)
  - anonymization is about finding balance between damage of the data and privacy protection
  - best known anonymization technique – differential privacy – was questioned by WP29 (→ EDPB)

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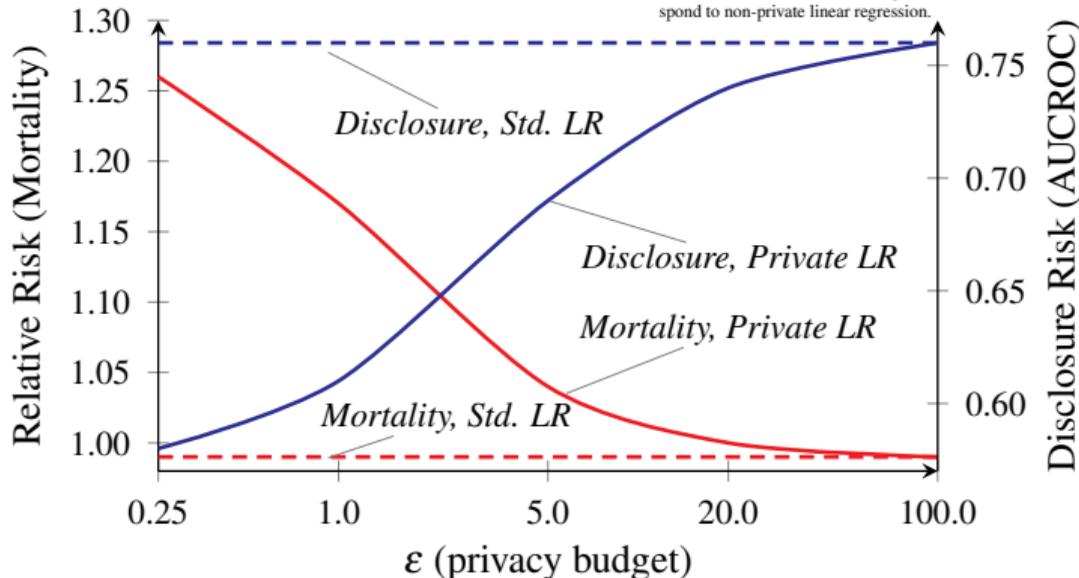
## USING PERSONAL OR ANONYMIZED DATA?

- ▶ **For medical research it is almost always better to work with pseudonymized data**
  - one typically has or can obtain a legal basis – justified interest or informed consent
  - possible effect caused by data damage are highly undesirable and helps with reproducibility
  - pseudonymized data allows dealing with incidental findings

# USING PERSONAL OR ANONYMIZED DATA?

Compromise of utility vs. privacy<sup>1</sup>

Figure 1: Mortality risk (relative to current clinical practice) for, and VKORC1 genotype disclosure risk of,  $\epsilon$ -differentially private linear regression (LR) used for warfarin dosing (over five values of  $\epsilon$ , curves are interpolated). Dashed lines correspond to non-private linear regression.



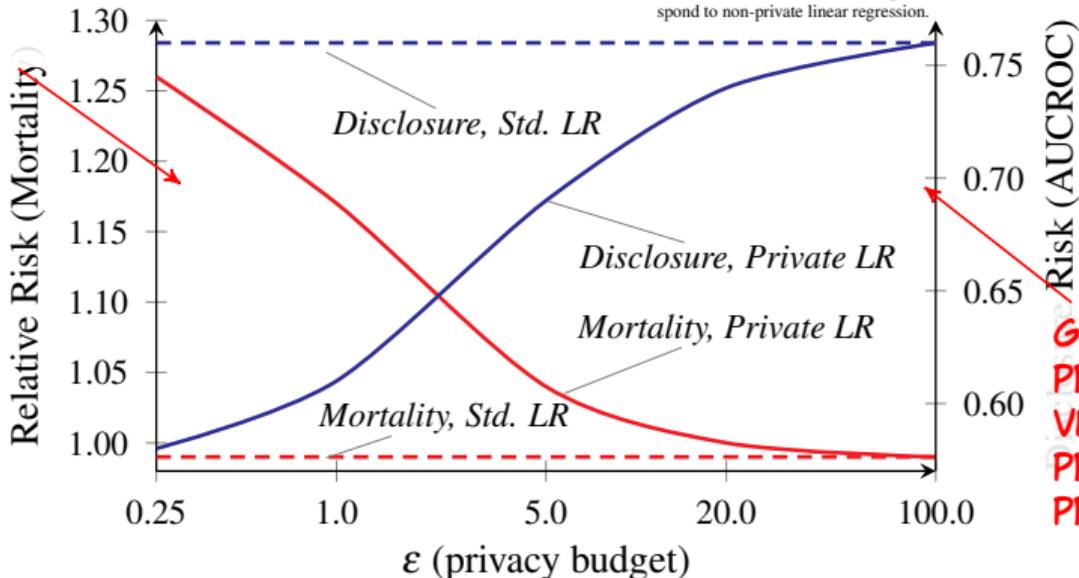
<sup>1</sup> Fredrikson, M., Lantz, E., Jha, S., Lin, S., Page, D., & Ristenpart, T. (2014). Privacy in Pharmacogenetics: An End-to-End Case Study of Personalized Warfarin Dosing. Proceedings of the ... USENIX Security Symposium. UNIX Security Symposium, 2014, 17–32. Retrieved from <http://www.biostat.wisc.edu/page/WarfarinUsenix2014.pdf>

**GOOD PRIVACY PROTECTION, BUT TENDS TO KILL PATIENTS.**

# PERSONAL OR ANONYMIZED DATA?

Trade-off of utility vs. privacy<sup>1</sup>

Figure 1: Mortality risk (relative to current clinical practice) for, and VKORC1 genotype disclosure risk of,  $\epsilon$ -differentially private linear regression (LR) used for warfarin dosing (over five values of  $\epsilon$ , curves are interpolated). Dashed lines correspond to non-private linear regression.



**GOOD TREATMENT PREDICTION, BUT VERY POOR PRIVACY PROTECTION.**

<sup>1</sup> Fredrikson, M., Lantz, E., Jha, S., Lin, S., Page, D., & Ristenpart, T. (2014). Privacy in Pharmacogenetics: An End-to-End Case Study of Personalized Warfarin Dosing. Proceedings of the ... USENIX Security Symposium. UNIX Security Symposium, 2014, 17–32. Retrieved from <http://www.biostat.wisc.edu/page/WarfarinUsenix2014.pdf>

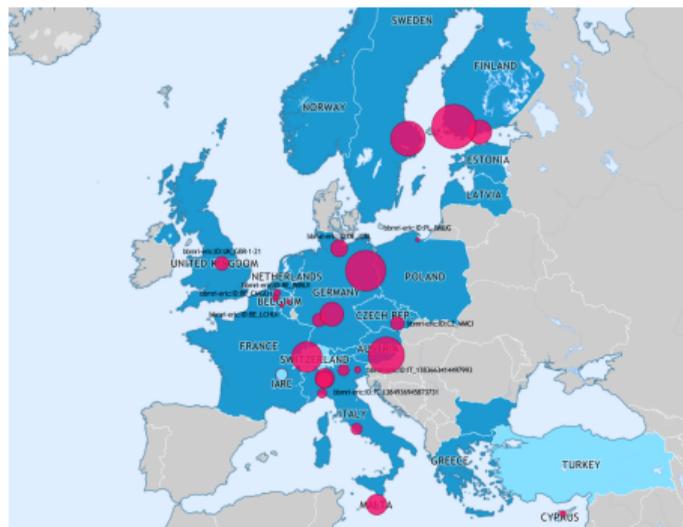
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## CHALLENGES OF (INTERNATIONAL) SHARING

- ▶ **Problem of national GDPR derogations and additional national regulations**
- ▶ **Difficult to get Codes of Conduct under Art. 40 ready and approved**
  - e.g., 3 codes in preparation for cloud computing
  - e.g., 2 codes for medical data sharing (one organized by BBMRI-ERIC)
  - process takes years, unclear process in the beginning
  - EDPB slow to start
- ▶ **Problem with **status of full international organizations** (e.g., EBI/EMBL which is global resource of bioinformatics DBs)**
  - ERICs are much easier – defined jurisdiction of EU and hosting country.

## CRC-COHORT – EXAMPLE OF THE PROCESS

- ▶ 10,000 colorectal cancer cases spread over the Europe
  - BBMRI-ERIC is coordinator, host, and custodian



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## CRC-COHORT – EXAMPLE OF THE PROCESS

- ▶ **10,000 colorectal cancer cases spread over the Europe**
  - examples of delicate complexities
    - Finnish national derogations and additional regulations prevent data to leave Finland permanently (also influences dbSNP, EGA, and other major European/global databases)
    - Austrian implementation of derogations for research make data handling very delicate – all accesses must be logged
    - lack of cloud computing Code complicates storage and processing – currently only national compliance (BBMRI-ERIC in AT, but storage in IT)
  - Czech implementation of GDPR is one of the least problematic



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## CONCLUSIONS

- ▶ **Research data is a specific topic** because of global nature of science
- ▶ **GDPR is actually positive step forward** for research data sharing – clarifies and harmonizes many things
  - performative nature of GDPR allows for good flexibility
  - gives good flexibility for research purposes, unless hampered by national derogations and/or additional regulations
- ▶ Adoption and further development of **common guidelines** needed
  - approval of Art. 40 Codes needs to accelerate
- ▶ **ERICs are a good framework for facilitating research data** sharing in Europe as demonstrated by CRC-Cohort developed by BBMRI-ERIC

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THANK YOU!

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